

| | | |
|---|---|---|
| FORM PTO-1390 MODIFIED | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTORNEY'S DOCKET NUMBER 4662-136 |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 10/565774 Unknown |
| INTERNATIONAL APPLICATION NO. PCT/EP2004/008009 | INTERNATIONAL FILING DATE 16 July 2004 | PRIORITY DATE CLAIMED 25 July 2003 |
| TITLE OF INVENTION PROCESS FOR PURIFYING CAPROLACTAM | | |
| APPLICANT(S) FOR DO/EO/US LEMMENS et al | | |
| <p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. <input type="checkbox"/> The U.S. has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> <input checked="" type="checkbox"/> WO 2005/014538 is attached hereto (14 pages specification, claims & abstract (21 claims), 2 sheets drawings). <input checked="" type="checkbox"/> has been communicated by the International Bureau. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <input type="checkbox"/> is attached hereto (pages specification, claims & abstract (claims), sheets drawings, page Certificate of Translation). <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). <input type="checkbox"/> have been communicated by the International Bureau. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. <input type="checkbox"/> have not been made and will not be made. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). <ol style="list-style-type: none"> <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). <input type="checkbox"/> Declaration was submitted to the International Bureau during International Phase (see copies of Declaration (page Form PCT/RO/101 and Form PCT/IB/371 and first page of printed publication acknowledging receipt thereof attached). <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <p>Items 11 To 20 below concern document(s) or information included:</p> <ol style="list-style-type: none"> <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. <ol style="list-style-type: none"> <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. <input type="checkbox"/> An Application Data Sheet under 37 C.F.R. § 1.76. <input type="checkbox"/> A substitute specification. <input type="checkbox"/> A change of power of attorney and/or address letter. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). <input type="checkbox"/> Other items or information. | | |

| | | | | | |
|--|-------------------------------------|---|---------------------------------|---|------------------------|
| U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) Unknown 65774 | | INTERNATIONAL APPLICATION NO. PCT/EP2004/008009 | | ATTORNEY'S DOCKET NUMBER 4662-136 | |
| <input checked="" type="checkbox"/> The following fees are submitted: | | | | | |
| BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5): | | | | | |
| 21. <input checked="" type="checkbox"/> | Basic national fee | | | \$300.00 (1631)/\$150.00 (2631) | \$ 300.00 |
| 22. <input checked="" type="checkbox"/> | Examination Fee..... (1643/2643) | | | \$0 | \$ 200.00 |
| | | | | \$200.00 (1633)/\$100.00 (2633) | |
| 23. <input checked="" type="checkbox"/> | Search Fee | | | \$0 (1640/2640) | |
| | | | | \$100 (1641)/\$50 (2641) | |
| | | | | \$400 (1642)/\$200 (2642) | |
| | | | | \$500.00 (1632)/\$250.00 (2632) | \$ 400.00 |
| TOTAL OF ABOVE CALCULATIONS | | | | | \$ 900.00 |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | | RATE | |
| 0 -100 | 0 | /50 = | 0.00 | \$0.00 (1681) | |
| | | | | \$0.00 (2681) | |
| Surcharge of \$130.00 (1617)/\$65.00 (2617) for furnishing the oath or declaration later than <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)). | | | | | \$ 130.00 |
| CLAIMS | NUMBER FILED | # EXTRA | RATE | | |
| Total Claims | 21 | minus 20 = | 1 X | \$50.00 (1615)/ | \$25.00 (2615) |
| Independent Claims | 1 | minus 3 = | 0 X | \$200.00 (1614) | \$100.00 (2614) |
| MULTIPLE DEPENDENT CLAIMS(S) (if applicable) | | | \$360.00 (1616)/\$180.00 (2616) | | \$ 0.00 |
| Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s): One Month Extension \$120.00 (1251)/\$60.00 (2251); Two Month Extensions \$450.00 (1252)/\$225.00 (2252); Three Month Extensions \$1020.00 (1253)/\$510.00 (2253); Four Month Extensions \$1590.00 (1254)/\$795.00 (2254) | | | | | \$ 0.00 |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. | | | | | |
| Processing fee of \$130.00 (1618), for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)). | | | | | 0.00 |
| TOTAL NATIONAL FEE = | | | | | \$ 1080.00 |
| Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 (8021) per property | | | | | \$ 0.00 |
| Fee for Petition to Revive Unintentionally Abandoned Application; \$1500.00 (1453) / \$750.00 (2453) | | | | | \$ 0.00 |
| TOTAL FEES ENCLOSED = | | | | | \$ 1080.00 |
| | | | | | Amount to be refunded: |
| | | | | | \$ |
| | | | | | Amount to be Charged: |
| | | | | | \$ |

- a. ☐ A check in the amount of \$1080.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. 14-1140 in the amount of \$_____ to cover the above fees.
- A duplicate copy of this form is enclosed.

- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1140. A duplicate copy of this form is enclosed.
- d. ☒ **CREDIT CARD PAYMENT FORM ATTACHED.**
- e. ☒ The entire content of International Application No. **PCT/EP2004/008009** and any U.S. and foreign application(s) corresponding thereto, and EP 03077338.6, referred to in this application is/are hereby incorporated by reference in this application.
- NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b) must be filed and granted to restore the application to pending status.**

CORRESPONDENCE ADDRESS

Direct all correspondence to:

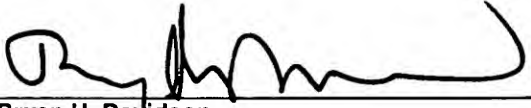
☒ **Customer Number:**

23117

Type Customer Number here

Telephone: (703) 816-4000

BHD:lmy



Bryan H. Davidson

NAME

30,251

REGISTRATION NUMBER

January 25, 2006

Date